

Chatgililoglu et al.⁷ have found that at 300 K the cis isomer is 2.4 times more reactive than the trans isomer, the respective absolute k values being 2.1×10^7 and $8.9 \times 10^6 \text{ M}^{-1} \text{ s}^{-1}$. Table IV lists relative addition rate data for the reaction of these two compounds with various radicals. It can be seen that in all the reactions the trans isomer is more reactive than the cis isomer. The observation that this order of reactivity is reversed in the

reactions with triethylsilyl radicals can be rationalized if it is assumed that the cis isomer reacts mainly by Cl transfer and that in this reaction it is much more reactive than the trans isomer of dichloroethylene.

Registry No. C_2Cl_4 , 127-18-4; Et_3SiH , 617-86-7; Et_3SiCl , 994-30-9; $\text{C}_2\text{Cl}_3\text{H}$, 79-01-6; $t\text{-BuCl}$, 507-20-0; $t\text{-BuH}$, 1320-76-9.

Pyramidalization of Carbonyl Carbons in Asymmetric Environments: Carboxylates, Amides, and Amino Acids

G. A. Jeffrey,^{*1a} K. N. Houk,^{*1b} Michael N. Paddon-Row,^{*1b,c} Nelson G. Rondan,^{*1b} and J. Mitra^{1a}

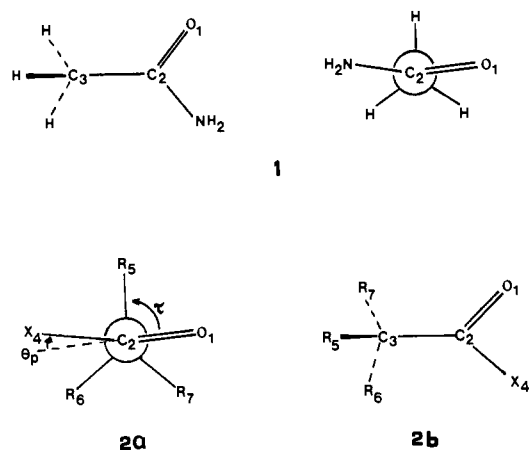
Contribution from the Departments of Crystallography and Chemistry, University of Pittsburgh, Pittsburgh, Pennsylvania 15260. Received May 16, 1984

Abstract: Ab initio molecular orbital calculations, in some cases using unusually stringent convergence criteria for both SCF and geometry optimizations, predict that pyramidalization of the sp^2 carbon atoms will occur in the asymmetric conformers of acetamide, acetic acid, acetaldehyde, propionaldehyde, and the acetate anion. This pyramidalization is small, $\approx 2^\circ$, such that the displacement of the apex of the pyramid is anti to the direction of the bond on the adjacent carbon atom which is most nearly normal to the mean plane of the sp^2 C bonds. This produces partial staggering about the bond to the carbonyl carbon. A survey of 49 neutron diffraction crystal structure analyses of amino acids and dipeptides provides experimental evidence in qualitative support of these theoretical predictions.

Theoretical studies of a variety of alkenes²⁻⁴ and acetaldehyde^{2b} led to the prediction that doubly bonded carbon atoms will pyramidalize toward a staggered geometry when the local molecular environment is asymmetrical with respect to the formal plane of the sp^2 -hybridized orbitals of the alkene carbons. Dramatic examples of pyramidalization have been found in X-ray crystal structures of polycyclic alkenes.^{5,7} These distortions are also obtained in molecular mechanics (force-field) calculations and have been interpreted to be the consequence of torsional strain.^{4,6,7}

We now report a systematic study of pyramidalization in carboxylates, amides, and amino acids, based on theoretical ab initio calculations and a survey of some relevant crystal structural data. This work was prompted by the observation of pyramidalization in the molecule of acetamide in its rhombohedral crystalline form.⁸ Although acetamide has C_3 symmetry in the gas phase and solution, in the trigonal form of crystalline acetamide, the molecules have the asymmetric conformation, **1**, in

Chart I



(1) (a) Department of Crystallography. (b) Department of Chemistry. (c) Visiting Research Professor, University of Pittsburgh, 1984. Permanent Address: University of New South Wales, Australia.

(2) (a) Mazzochi, P. H.; Stahly, B.; Dodd, J.; Rondan, N. G.; Domelsmith, L. N.; Rozeboom, M. D.; Caramella, P.; Houk, K. N. *J. Am. Chem. Soc.* **1980**, *102*, 6482. (b) Rondan, N. G.; Paddon-Row, M. N.; Caramella, P.; Houk, K. N. *J. Am. Chem. Soc.* **1981**, *103*, 2436.

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an asymmetric crystal structure, space group $R3c$. One of the three methyl C-H bonds is almost normal to the molecular plane of the non-hydrogen atoms, as in **1**. This alteration of conformation about the C-C(O) bond of amides and peptides is well-

Table I. 3-21G Optimized Structures and Energies of Molecules Shown in Figure 1

Acetaldehyde							
	5	6	6		5	6	6
optimization	DEFAULT	DEFAULT	TIGHT				
$\angle \text{HC}_3\text{C}_2\text{O}_1$, deg	0.0	90.0	90.0				
				Bond Lengths (Å)			
C_2O_1	1.209	1.209	1.209	C_3H_5	1.080	1.087	1.087
C_2C_3	1.507	1.509	1.510	C_3H_6	1.086	1.082	1.082
C_2H_4	1.086	1.086	1.086	C_3H_7	1.086	1.082	1.082
				Bond Angles (deg)			
$\text{C}_3\text{C}_2\text{O}_1$	124.8	124.3	124.3	$\text{H}_6\text{C}_3\text{C}_2$	109.9	111.0	111.0
$\text{H}_4\text{C}_2\text{C}_3$	114.3	114.8	114.8	$\text{H}_7\text{C}_3\text{C}_2$	109.9	109.9	109.9
$\text{H}_5\text{C}_3\text{C}_2$	110.0	109.2	109.2				
				Dihedral Angles (deg)			
$\text{H}_6\text{C}_3\text{C}_2\text{H}_5$	120.9	119.5	119.6	θ_d	0.0	1.8	1.8
$\text{H}_7\text{C}_3\text{C}_2\text{H}_5$	-120.9	-118.2	-118.1	θ_p	0.0	1.6	1.6
$\text{H}_4\text{C}_2\text{C}_3\text{O}_1$	180.0	181.8	181.8	E , au	-152.05525	-155.05439	
				Propionaldehyde			
	7	8	8	9	9	10	10
$\angle \text{C}_5\text{C}_3\text{C}_2\text{O}_1$, deg	0.0	124.2	127.8	210.9	210.8	90.0	90.0
optimization	DEFAULT	DEFAULT	TIGHT	DEFAULT	TIGHT	DEFAULT	TIGHT
				Bond Lengths (Å)			
C_2O_1	1.209	1.209	1.208	1.208	1.208	1.209	1.209
C_2C_3	1.508	1.508	1.508	1.512	1.512	1.509	1.509
C_2H_4	1.087	1.087	1.089	1.089	1.089	1.088	1.088
C_3C_5	1.534	1.546	1.545	1.539	1.539	1.551	1.551
C_3H_6	1.087	1.087	1.087	1.082	1.082	1.083	1.083
C_3H_7	1.087	1.081	1.081	1.088	1.088	1.082	1.082
				Bond Angles (deg)			
$\text{C}_3\text{C}_2\text{O}_1$	124.4	125.3	125.3	124.8	124.8	124.4	124.4
$\text{C}_3\text{C}_2\text{H}_4$	114.8	113.8	113.8	114.3	114.3	114.6	114.6
$\text{C}_3\text{C}_3\text{C}_2$	111.9	110.4	110.5	111.5	111.5	109.3	109.4
$\text{H}_6\text{C}_3\text{C}_2$	108.0	108.5	108.3	108.4	108.4	109.8	109.9
$\text{H}_7\text{C}_3\text{C}_2$	108.0	108.6	108.6	107.9	107.9	108.8	108.8
				Dihedral Angles (deg)			
$\text{H}_6\text{C}_3\text{C}_2\text{C}_5$	122.5	119.7	119.8	123.2	123.3	120.7	120.8
$\text{H}_7\text{C}_3\text{C}_2\text{C}_5$	-122.5	-122.2	-122.4	-120.9	-120.8	-119.5	-119.4
$\text{H}_1\text{C}_2\text{C}_3\text{O}_1$	180.0	180.4	180.1	181.4	181.4	183.2	183.1
θ_d	0.0	0.4	0.1	1.4	1.4	3.2	3.1
θ_p	0.0	0.3	0.1	1.2	1.2	2.9	2.9
E , au	-190.87779	-190.87505		-190.87464		-190.87381	
				Acetamide			
	11	12	12		11	12	12
optimization	DEFAULT	DEFAULT	TIGHT				
$\angle \text{H}_5\text{C}_3\text{C}_3\text{O}_1$, deg	0.0	90.0	90.0				
				Bond Lengths (Å)			
C_2O_1	1.215	1.216	1.216	C_3H_6	1.084	1.082	1.082
C_2C_3	1.515	1.517	1.517	C_3H_7	1.084	1.080	1.080
C_2N_4	1.360	1.358	1.358	N_4H_8	0.997	0.998	0.998
C_3H_5	1.079	1.085	1.085	N_4H_9	0.994	0.994	0.994
				Bond Angles (deg)			
$\text{C}_3\text{C}_2\text{O}_1$	123.5	122.9	122.9	$\text{H}_7\text{C}_3\text{C}_2$	110.3	108.7	108.6
$\text{N}_4\text{C}_2\text{C}_3$	113.8	114.4	114.4	$\text{H}_8\text{N}_4\text{C}_2$	118.8	118.7	118.6
$\text{H}_4\text{C}_3\text{C}_2$	108.7	109.0	109.0	$\text{H}_9\text{N}_4\text{C}_2$	122.5	122.8	122.7
$\text{H}_6\text{C}_3\text{C}_2$	110.3	112.2	112.2				
				Dihedral Angles (deg)			
$\text{H}_6\text{C}_3\text{C}_3\text{H}_5$		120.7	120.8	$\text{H}_9\text{N}_4\text{C}_2\text{O}_1$	180.0	180.5	180.5
$\text{H}_7\text{C}_3\text{C}_2\text{H}_5$		-117.6	-117.5	θ_d	0.0	1.9	2.0
$\text{N}_4\text{C}_2\text{C}_3\text{H}_5$	180.0	181.9	182.0	θ_p	0.0	1.7	1.8
$\text{H}_8\text{N}_4\text{C}_2\text{O}_1$	0.0	-0.6	-0.1		-206.81594	-206.81531	
				Acetic Acid			
	13	14	14		13	14	14
optimization	DEFAULT	DEFAULT	TIGHT				
$\angle \text{H}_5\text{C}_3\text{C}_2\text{O}_1$, deg	0.0	90.0	90.0				
				Bond Lengths (Å)			
C_2O_1	1.202	1.202	1.202	C_3H_6	1.083	1.078	1.078
C_2C_3	1.498	1.499	1.499	C_3H_7	1.083	1.079	1.079
C_2O_4	1.360	1.359	1.359	C_4H_8	0.969	0.969	0.969

Table I (Continued)

	13	14	14		13	14	14
Bond Lengths (Å)							
C ₃ H ₅	1.078	1.085	1.085				
Bond Angles (deg)							
C ₃ C ₂ O ₁	127.4	127.0	127.0	H ₆ C ₃ C ₂	111.8	110.2	110.2
O ₄ C ₂ C ₃	110.5	110.9	110.9	H ₇ C ₃ C ₂	111.8	109.5	109.5
H ₅ C ₃ C ₂	109.6	108.9	108.9	H ₅ O ₄ C ₂	111.8	111.7	111.7
Dihedral Angle (deg)							
H ₆ C ₃ C ₂ H ₅	121.1	119.2	119.2	θ _d	0.0	1.9	1.9
H ₇ C ₃ C ₂ H ₅	-121.1	-118.3	-118.3	θ _p	0.0	1.7	1.7
O ₄ C ₂ C ₃ O ₁	180.0	181.9	181.9	E, au	-226.53423	-226.53362	
H ₅ O ₄ C ₂ O ₁	0.0	-0.1	0.0				
Acetate Anion							
	15	16	16		15	16	16
optimization	DEFAULT	DEFAULT	TIGHT				
∠H ₅ C ₃ C ₂ O ₁ , deg	0.0	90.0	90.0				
C ₂ O ₁	1.248	1.250	1.250	C ₃ H ₅	1.082	1.089	1.089
C ₂ C ₃	1.575	1.576	1.575	C ₃ H ₆	1.087	1.083	1.083
C ₂ O ₄	1.251	1.250	1.250	C ₃ H ₇	1.087	1.083	1.083
Bond Angles (deg)							
C ₃ C ₂ O ₁	115.8	115.1	115.1	H ₆ C ₃ C ₂	109.2	110.0	110.0
O ₄ C ₂ C ₃	114.4	115.1	115.1	H ₇ C ₃ C ₂	109.2	110.0	110.0
H ₅ C ₃ C ₂	110.4	108.9	108.9				
Dihedral Angles (deg)							
H ₆ C ₃ C ₂ H ₅	121.4	118.5	118.5	θ _d	0.0	1.8	1.8
H ₇ C ₃ C ₂ H ₅	-121.4	-118.5	-118.5	θ _p	0.0	1.6	1.6
O ₄ C ₂ C ₃ O ₁	180.0	181.8	181.8	E, au	-225.93308	-225.93310	

known and has been shown to be the result of crystal lattice forces.⁹ The carbonyl carbon, C₁, is observed to be pyramidalized by 1.5 (1°) in a neutron diffraction crystal structure analysis at 20 K.⁸

In this paper we refer to the degree of pyramidalization in terms of two related parameters, θ_p and θ_d, the definition of which can be understood with reference to drawings 1, 2a, and 2b. θ_p is the out-of-plane angle made by the bond vector C₂X₄ with the plane defined by atoms O₁C₂C₃. It measures the angular amount by which atom X₄ moves out of the O₁C₂C₃ plane. θ_d is defined as (180° - ∠X₄C₂C₃O₁). It is the dihedral angle by which X moves away from planarity. Both θ_p and θ_d are 0° for a perfectly planar system. θ_p and θ_d are related by the following equation: θ_p = sin⁻¹(sin θ_d sin ∠X₄C₂C₃). A positive value for θ_p and θ_d means that the C₂X₄ bond vector in projection 2b bends upward toward R₅.

The direction of pyramidalization in acetamide is the same as that predicted by theory,^{2b} such that the apex of the pyramid at C₂ is anti to the bond which is most nearly normal to the C₂ sp² plane. In other words, there is partial staggering about the C₂C₃ bond. In contrast, in the molecule of monofluoroacetamide, which has almost *m* symmetry in its monoclinic crystal structure, with the CF bond in the O₁C₂C₃ plane, the experimentally observed pyramidalization at C₂ is negligible, 0.25 (6°).¹⁰

Theoretical calculations using ab initio molecular orbital methods with the 3-21G basis set gave nearly the same degree of pyramidalization, 1.7°, for the conformer 1 of acetamide¹¹ as found experimentally, 1.5°.⁸ This suggests that the distortion from planarity of the non-hydrogen atoms is an intrinsic molecular property, rather than a crystal-field effect, as was originally assumed.⁸ To be sure, the alignment of the allylic CH bonds in the asymmetric conformation, 1, must arise from crystal-field effects,⁹ but the simultaneous pyramidalization of the carbonyl carbon is suggested by theory to be a natural consequence of the methyl

rotation, and not a result of crystal-field effects acting directly on the carbonyl group. In this paper we have extended these theoretical calculations to some related simple molecules containing carbonyl groups of the general type shown in 3. In particular, we have investigated whether the pyramidalization calculated (and observed) for 1 is an inherent feature of carbonyls in an asymmetric environment or whether the degree and direction of pyramidalization is merely a random event in the gas phase, or produced only by crystal field effects in the solid state.

Molecular distortions from planarity of this order of magnitude are difficult to study experimentally. Acetamide is too large a molecule for structure determination by microwave spectroscopy, and the distortions from planarity in question are too small to be measured by gas-phase electron diffraction. Accurate crystal structure analysis at low temperatures is the only method available, but the observations may be obscured by, or confused with, the consequences of crystal-field effects, which are believed to result in distortions of the same order of magnitude. Neutron diffraction is preferred to X-ray diffraction because reliable location of the hydrogen atoms is relevant to the interpretation of the results. The only group of molecules containing sp² carbon atoms for which the crystal structures have been systematically studied by neutron diffraction are the amino acids. It was to this data set,¹² which gives data on carbonyl compounds of the general formula 4, that we turned for further experimental evidence.

Theoretical Calculations of Carbonyl Structures

Calculations were performed at the Hartree-Fock level with the 3-21G basis set¹³ with use of the GAUSSIAN 80 series of programs.¹⁴ Initial investigations used standard convergence criteria, but at the suggestion of one of the referees, we reoptimized eight structures with more stringent convergence criteria than the default

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(10) Jeffrey, G. A.; Rùble, J. R.; McMullan, R. K.; DeFrees, D. J.; Pople, J. A. *Acta Crystallogr., Sect. B* **1981**, *37*, 1885.

(11) Whiteside, R. A.; Binkley, J. S.; Krishnan, R.; DeFrees, D. J.; Schlegel, H. B.; Pople, J. A. "Carnegie-Mellon Quantum Chemistry Archive"; Carnegie-Mellon University: Pittsburgh, PA 15213. This conformer is calculated to be 0.4 kcal/mol higher in energy than that with *m* symmetry in the gas phase.

(12) The 49 neutron diffraction crystal structure analyses of amino acids in the Cambridge Crystallographic Data Base (January, 1982 release) were used.

(13) Binkley, J. S.; Pople, J. A.; Hehre, W. J. *J. Am. Chem. Soc.* **1980**, *102*, 939.

(14) Binkley, J. S.; Whiteside, R. A.; Krishnan, R.; Seeger, R.; DeFrees, D. J.; Schlegel, H. B.; Topiol, S.; Kahn, L. R.; Pople, J. A., GAUSSIAN 80, Quantum Chemistry Program Exchange, Indiana University. The TIGHT optimizations were carried out with GAUSSIAN 82.

Table II. Comparisons of Geometries of 6 and 8 with Different Basis Sets

	6 3-21G	6 4-31G	8 3-21G	8 6-31G*
Bond Lengths (Å)				
C ₂ O ₁	1.209	1.210	1.208	1.188
C ₃ C ₂	1.509	1.496	1.508	1.507
C ₂ H ₄	1.086	1.085	1.089	1.097
C ₃ H ₅	1.087	1.086		
C ₃ H ₆	1.082	1.080	1.087	1.089
C ₃ H ₇	1.082	1.081	1.081	1.083
C ₃ H ₅			1.545	1.532
Bond Angles (deg)				
C ₃ C ₂ O ₁	124.3	123.7	125.3	124.7
H ₄ C ₂ C ₃	114.8	116.5	113.8	115.1
H ₅ C ₃ C ₂	109.2	109.4		
H ₆ C ₃ C ₂	111.1	111.4	108.3	107.6
H ₇ C ₃ C ₂	109.9	110.2	108.6	108.3
C ₃ C ₂ C ₃			110.5	111.8
Dihedral Angles (deg)				
H ₅ C ₃ C ₂ H ₄	-88.2	-88.3		
H ₆ C ₃ C ₂ H ₅	119.6	119.5		
H ₇ C-(3)C ₂ H ₅	-118.1	-118.2		
H ₄ C ₂ C ₃ O ₁	181.8	181.7	180.1	180.1
H ₇ C ₃ C ₂ O ₁			5.4	2.7
θ _d	1.8	1.7	0.1	0.1
θ _p	1.6	1.5	0.1	0.1

values. The more stringent thresholds (TIGHT optimizations) used for SCF convergence, maximum force, root-mean-square force, maximum displacement, and root-mean-square displacement are 10^{-9} , 1.5×10^{-5} , 1.0×10^{-5} , 6.0×10^{-5} , and 4.0×10^{-5} , respectively. For comparison, the default values in GAUSSIAN 80 are 10^{-7} , 4.5×10^{-4} , 3.0×10^{-4} , 1.8×10^{-3} , and 1.2×10^{-3} , respectively. All geometrical parameters were fully optimized, except for the constraint of one dihedral angle, RC₃C₂O₁ (R = H or Me), as noted below. The results of the theoretical calculations on various conformers of acetaldehyde, propionaldehyde, acetamide, acetic acid, and the acetate anion are shown in Figure 1 and Table I. The C₁ structure of acetaldehyde was reoptimized by using the 4-31G basis set in order to test the authenticity of the nonplanar behavior of the carbonyl center. Dr. J. S. Binkley kindly provided us with the fully optimized 6-31G* structure of the second most stable conformation of propanal. The results of the 4-31G and 6-31G* calculations are summarized in Table II, along with the fully optimized 3-21G structures. From Table II it is apparent that the pyramidalization of the carbonyl group is not basis set dependent. In addition, we found that this pyramidalization survives even if more rigorous optimization criteria are used, as can be seen by the geometries summarized in Table I. The energies obtained in both DEFAULT and TIGHT optimizations are identical to within 10^{-6} au.

Acetaldehyde prefers the eclipsed conformation ($\angle\text{HCCO} = 0^\circ$ and $\approx 120^\circ$). The conformation in which one HCCO is constrained to 90° is calculated to be 0.5 kcal/mol higher in energy. This conformation has a slightly pyramidal carbonyl carbon, since the aldehyde hydrogen moves out-of-plane toward the perpendicular CH bond by 1.6° .

Propionaldehyde has two different eclipsed geometries which are local minima, and we also calculated geometries of conformations in which either a CH or CCH₃ bond is fixed perpendicular to the CCO plane. These were optimized in order to assess the relative importance of perpendicular CH and CC bonds in inducing pyramidalization. As shown in Figure 1, there is little difference in the pyramidalization in the two cases, suggesting that for these conformations the strain due to partial eclipsing of either CC with CH or of CH with CH is similar.

The structure of acetamide has been optimized previously by Schafer et al., using the 4-21G basis set.¹⁵ These authors found

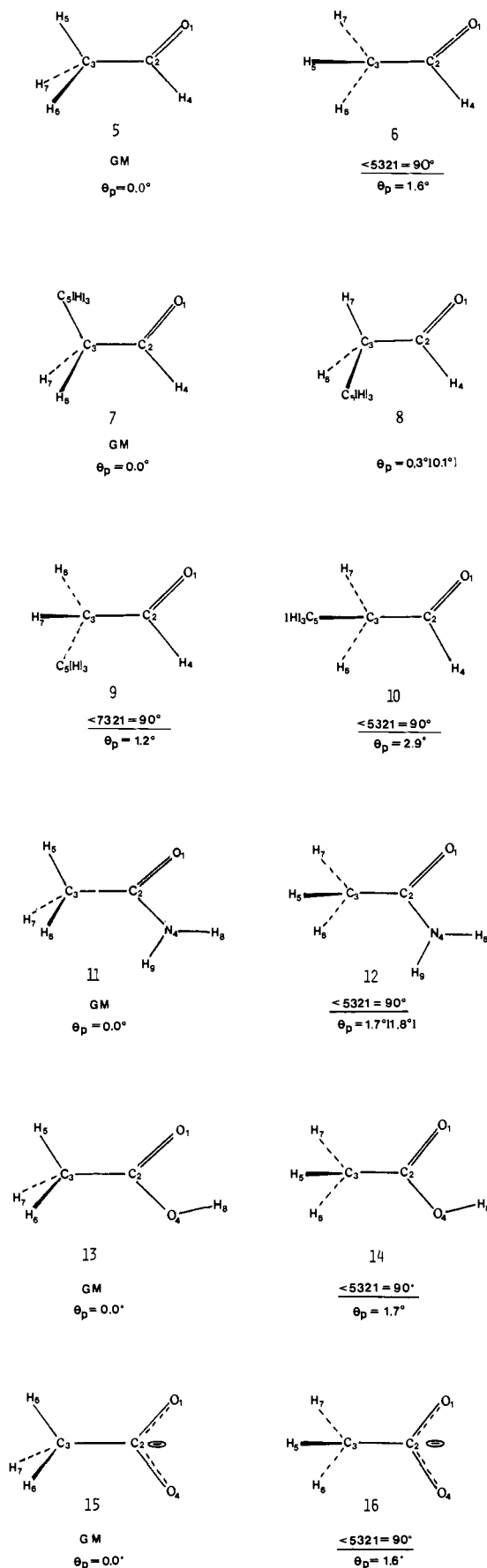


Figure 1. 3-21G pyramidalizations for optimized structures of carbonyl compounds using DEFAULT or, in brackets (if different), TIGHT convergence and optimization criteria. Underlined parameters were fixed in the optimizations. Additional geometrical parameters are given in Table I. GM designates global minimum.

(15) Klimkowski, V. J.; Sellers, H. J.; Schafer, L. *J. Mol. Struct.* **1979**, *54*, 299.

Table III. Neutron Diffraction Data from Acetamide, Amino Acids, and Dipeptides

compound	θ_p , ^a deg	L , ^a Å	τ , ^a deg	refcode ^b
acetamide (1)	1.50	0.012	92.3	<i>c</i>
acetamide· $\frac{1}{2}$ HCl (2)	1.09	0.009	-114.2	<i>d</i>
<i>N</i> -acetylglucine (3)	0.37	0.003	105.1	ACYGLY11
L-asparagine·H ₂ O (4)	0.50	0.004	-118.0	ASPARM02
L-asparagine·H ₂ O (4) ^e	0.65	0.005	-116.4	ASPARM03
L-glutamine (5)	0.47	0.004	107.5	GLUTAM01
glycylglycine·HCl·H ₂ O (6)	2.04	0.016	99.3	GLCICH01
α -glycylglycine (82 K) (7)	2.92	0.023	-91.6	GLYGLY04
perdeuteriogylyglycine (α -form) (8)	2.90	0.023	90.3	GLYGLD
perdeuteriogylyglycine (α -form) (8')	2.41	0.019	-90.4	GLYGLD02
<i>N</i> -acetylglucine (9)	0.22	0.002	-119.9	ACYGLY11
L-asparagine·H ₂ O (10)	4.30	0.033	70.4	ASPARM02
L-asparagine·H ₂ O (10')	4.82	0.045	67.8	ASPARM03
L-cysteic acid·H ₂ O (11)	1.43	0.011	76.2	CYSTAC01
L-cystine·2HCl (12)	0.71	0.005	-106.1	CYSTCL02
L-cystine·2HCl (12')	0.66	0.005	-106.5	CYSTCL01
diglycine nitrate (ferro form) (13)	1.56	0.012	63.7	DGLYCN01
diglycine nitrate (ferro form) (14)	-1.62	-0.012	-65.7	DGLYCN01
diglycine nitrate (para form) (15)	4.06	0.031	-66.4	DGLYCN10
diglycine nitrate (para form) (16)	5.92	0.046	74.6	DGLYCN10
L-glutamic acid (β -form) (17)	-0.40	-0.003	-107.9	LGLUAC11
L-glutamic acid·HCl (18)	1.70	0.013	-105.3	LGLUTA
α -glycine (19)	0.58	0.004	78.4	GLYCIN03
α -glycine (19')	0.78	0.006	78.5	GLYCIN05
γ -glycine (298 K) (20)	2.27	0.017	-74.3	GLYCIN15
γ -glycine (83 K) (21)	2.23	0.017	-74.2	GLYCIN16
glycine·HCl (22)	-0.38	-0.003	59.7	GLYHCL
glycylglycine·HCl·H ₂ O (23)	0.60	0.005	119.2	GLCICH01
α -glycylglycine (82 K) (24)	0.27	0.002	-111.5	GLYGLY04
triglycine-sulfate (ferro form, room temp) (25)	1.13	0.009	-76.0	TGLYSU11
triglycine-sulfate (ferro form, room temp) (26)	2.46	0.019	-56.0	TGLYSU11
triglycine-sulfate (ferro form, room temp) (27)	-0.19	-0.001	64.6	TGLYSU11
hippuric acid (28)	-2.38	-0.018	65.5	HIPPAC02
L-histidine·HCl·H ₂ O (29)	-1.13	-0.009	-116.9	HISTCM12
iminodiacetic acid·HBr (30)	0.00	0.000	-120.1	IMDACB11
deuterioiminodiacetic acid·HBr (31)	0.00	0.000	-120.5	DIMDAB01
perdeuteriogylyglycine (α -form) (32)	-0.23	-0.002	111.9	GLYGLD
perdeuteriogylyglycine (α -form) (32')	-0.02	0.000	-112.0	GLYGLD02
L-phenylalanine·HCl (33)	0.97	0.007	-116.8	PHALNC01
L-serine·H ₂ O (34)	1.16	0.009	-114.6	LSERMH10
DL-serine (35)	1.31	0.009	-114.7	DLSERN11
L-alanine (36)	-0.06	0.000	-76.9	LALNIN12
L-arginine·2H ₂ O (37)	0.84	0.006	110.1	ARGIND11
L-cysteine (38)	0.44	0.003	107.1	LCYSTN12
L-glutamic acid (α -form) (39)	0.57	0.004	-110.5	LGLUAC03
L-glutamic acid (α -form) (40)	1.08	0.008	74.2	LGLUAC03
L-glutamic acid (β -form) (41)	3.20	0.025	-97.2	LGLUAC11
L-glutamic acid·HCl (42)	2.20	0.017	-76.4	LGLUTA
L-histidine (43)	0.54	0.004	95.4	LHISTD13
4-hydroxyl-L-proline (44)	1.77	0.014	113.6	HOPROL12
L-lysine·HCl·2H ₂ O (45)	0.85	0.007	75.6	LYSCHL02
L-lysine·HCl·2H ₂ O (45')	1.70	0.013	75.6	LYSCLH11
L-threonine (46)	0.96	0.007	95.9	LTHREO01
L-tyrosine (47)	0.39	0.003	108.2	LTYROS11
L-tyrosine·HCl (48)	2.68	0.021	-89.0	LTYRHC10
L-valine·HCl (49)	0.23	0.002	112.0	VALEHC11

^aDefined in the text. ^bFrom the Cambridge Crystallographic Data Base (ref 12). ^cReference 8. ^dReference 10. ^ePrimed numbers are second determinations of the same crystal structure. Identical entries under different numbers refer to different sp² C atoms.

that the amino group is planar in such molecules, but with very low out-of-plane bending force constants. These results are mimicked by the 3-21G results. The amino group slightly pyramidalizes in the conformation having one HCCO angle fixed at 90°, presumably in response to the carbonyl pyramidalization of 1.7°. The pyramidalization here is comparable to that found for acetaldehyde and propionaldehyde.

Acetic acid prefers the eclipsed conformation, and the 90° conformation is 0.4 kcal/mol higher in energy and has a pyramidalization of 1.7°. By contrast, acetate ion has essentially free rotation about the CC bond, since in this molecule the rotational potential is sixfold. The pyramidalization of the 90° conformation is again comparable to that found in the other carbonyl compounds. An X-ray crystal structure of ammonium acetate indicates that an eclipsed conformation is preferred, with a planar carbonyl group, within experimental error.¹⁶

In summary, the theoretical calculations indicate that carbonyl compounds lacking a plane of symmetry should pyramidalize, and the direction of pyramidalization is always that which results in a partially staggered conformation around the C₂-C₃ bond. The degree of pyramidalization is similar for different carbonyl compounds and reaches a maximum of 1.5-1.7° for conformations in which one allylic bond is perpendicular to the O₁C₂C₃ plane.

As we have described earlier,⁷ this direction of pyramidalization is exactly what is expected if the pyramidalization occurs so as to relieve closed-shell repulsions between vicinal bonds. In short, the molecules investigated are all predicted to have partially staggered conformations when allylic bonds are arranged unsymmetrically. Of course the molecules studied are all predicted

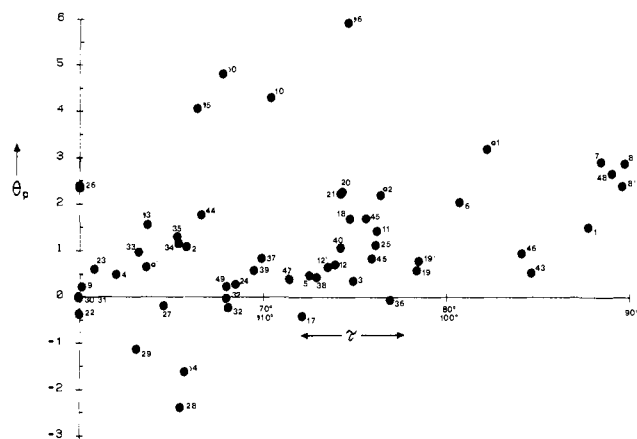


Figure 2. Plot of pyramidalization of amino acids or dipeptide carbonyl groups, θ_p , vs. the torsional angle, τ , which measures the asymmetry of allylic bonds with respect to the carbonyl plane. Data are from neutron crystal structures.¹² The points on the left axis have the following values of θ_p and τ (22: -0.38, 59.7; 26: 2.46, 56.0; 30: 0.00, 59.9; 31: 0.00, 59.5). The structures correspond to 4, with X = NH₂, R₁ = H for numbers 1, 4, 4', and 5; X = NHC (amino acid), R₁ = H for numbers 3, 6, 7, 8, and 8'; X = O^{-1/2} in CO₂⁻ or OH in CO₂H, R₁ = H in numbers 9–35; and X = O^{-1/2} in CO₂⁻ or OH in CO₂H, R₁ = alkyl in numbers 36–49. Number 2 is O-protonated acetamide.

to have a plane of symmetry, or to have one allylic bond nearly eclipsed with the carbonyl group, in the gas phase. The solution conformations are expected to be identical. In such cases, no pyramidalization of the carbonyl carbon is expected. Why then are we making much ado over a few degrees of pyramidalization in geometries which are expected to be energy maxima or near-maxima? When these functional groups are incorporated into cyclic or rigid polycyclic skeletons, or into proteins, an asymmetric arrangement of allylic bonds may be enforced, and pyramidalization will result. More generally, in solids, crystal forces may rotate allylic bonds away from gas-phase minima, and pyramidalization in the sense predicted above is expected. In the following section, we provide experimental evidence that supports this conclusion.

Experimental Data on Pyramidalization of Amino Acids and Dipeptides

Table III summarizes the relevant experimental data for 49 amides, amino acids, or dipeptides that have been analyzed by means of single-crystal neutron diffraction. In Figure 2, the pyramidalization, θ_p , of each sp² carbon atom, C₁, in each of these structures is plotted against the R₅C₃C₂O₁ torsion angle, τ , for which 120° > τ > 60°. The definition of τ is given in 2. It is that dihedral angle closest to 90° between the CO bond and an allylic bond. The approximate relationships between τ and the torsional angles involving R₆ and R₇ are $\tau_6 = \tau_5 + 120^\circ$ and $\tau_7 = \tau_5 + 240^\circ$. Positive pyramidalization is defined as in 2a as that in which the apex of the pyramid at C₂ is anti to the C₃–R₅ bond. That is, a positive value of θ_p implies partial staggering about C₂C₃, while a negative value implies partial eclipsing.

The data show a well-defined distortion from planarity of the sp² carbon atoms in the amino acids, reaching a maximum nearly double that predicted by theory. The force constants obtained from 3-21G calculations are 10–30% too large.¹³ The degree of pyramidalization is related to the force constant of the planar

symmetrical species, which will tend to restore the carbonyl to planarity, and to the asymmetric pyramidalizing force, identified here as torsional effects. Theory at this level is expected to underestimate the pyramidalization, since the out-of-plane bending force constants are overestimated relative to torsional (closed-shell repulsion) factors.

The non-planar distortion is predominantly in the staggered direction about C₂C₃, as predicted by our theoretical calculations. Seven of these structure analyses were duplicated by independent investigators. In all of the analyses, with two possible exceptions, these distortions are significant.¹⁷ The data in Figure 2 suggest that there is a relationship between θ and τ such that the pyramidalization is a maximum when $\tau = 90^\circ$.

Those examples where the pyramidalization is the reverse of that expected occur at values of τ of less than 70° or greater than 110°, suggesting that crystal-field effects which result in distortions from planarity opposed to the pyramidalization are only large enough to overcome the inherent electronic preference when one of the C₃–R bonds is within 10° of the C₂ sp² plane.

The experimental data taken alone cannot distinguish between two possible interpretations. One is that sp² C₂ bonds in the isolated or gas-phase molecule are planar, but distort more readily on one side of the molecule than on the other under the influence of asymmetric crystal-field forces. The second is that pyramidalization is an intrinsic property of the isolated molecule, when forced into an asymmetric conformation; this tendency persists in the crystalline state and produces the bias shown in Figure 2. The theoretical results strongly support the second interpretation.

These pyramidalizations are clearly related to the phenomenon of addition selectivity² and to hypotheses concerning "orbital distortion".⁷ Although the effects are small, they are cumulative in polypeptides and could influence the overall conformations of macromolecules, which do not have local symmetry at each carbonyl group. More significantly, they imply that reactions involving additions to peptide or carbonyl bonds in polypeptides may take place more easily from one side of the peptide plane than from the other, depending upon the conformation at the adjacent sp³ carbon atom.⁷ We have shown earlier that the torsional effects which induce pyramidalization are more pronounced in the transition states for addition reactions.^{7,18}

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Registry No. Acetamide, 60-35-5; acetic acid, 64-19-7; acetaldehyde, 75-07-0; propionaldehyde, 123-38-6; acetate anion, 71-50-1.

(17) The estimated standard deviations of θ range from 0.03° for structure 19 to 0.62° for structure 14. The values of $\sigma(\theta)$ were calculated from those given for the atomic coordinates σ_j by $\sigma(\theta) = \sum (d\theta/d\sigma_j)^2 \sigma_j^2$. The values of 3.03 $\sigma(\theta)$, i.e., 99.9% significance level, for the outliers in Figure 2 are 1.3° for structure 16, 0.8° for 10', 0.1° for 10, 1.9° for 15, 0.1° for 41, 0.9° for 28, and 0.2° for 29. There were no particular aspects of these crystal structures suggestive of exceptionally large crystal field distortions. However, the paramagnetic crystal structure of diglycine nitrate (14, 16) is disordered. As a consequence, the final agreement factors were high ($R = 0.13$), and the model used for the final refinement may be incorrect in detail.

(18) Rondan, N. G.; Paddon-Row, M. N.; Caramella, P.; Mareda, J.; Mueller, P. H.; Houk, K. N. *J. Am. Chem. Soc.* **1982**, *104*, 4974. Paddon-Row, M. N.; Rondan, N. G.; Houk, K. N. *J. Am. Chem. Soc.* **1982**, *104*, 7162.